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Docket No. 292331US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Thomas GESSNER, et al.

SERIAL NO: 10/584,631

GAU:

FILED: June 26, 2006

EXAMINER:

FOR: METHOD FOR PREPARING PHTHALOCYANINES

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

☒ The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
292331US0PCTSERIAL NO.
10/584,631

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Thomas GESSNER, et al.

FILING DATE

June 26, 2006

GROUP

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|--------------------|----------|---------------------------|-------|--------------|-------------------------------|
| /B.M./ | AA | 3 509 146 | 04-28-70 | WEINBERGER, Lester et al. | | | |
| /B.M./ | AB | 2 413 191 | 12-24-46 | PALMER, Fred Shank et al. | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | |
|--------|----|--------------------|----------|--|-------------|----|
| | | | | | YES | NO |
| /B.M./ | AO | 0 663 427 | 07-19-95 | EP (equivalent of US 5591555, US 5585483 and US 5824800) | | NO |
| | AP | | | | | |
| | AQ | | | | | |
| | AR | | | | | |
| | AS | | | | | |
| | AT | | | | | |
| | AU | | | | | |
| | AV | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

| | | | | | | |
|--------|----|---|--|--|--|--|
| /B.M./ | AW | BRACH, Paul J. et al., "Improved Synthesis of Metal-Free Phthalocyanines", Journal of Heterocyclic Chemistry, Vol. 7, pgs. 1403-1405, 1970. | | | | |
| | AX | | | | | |
| | AY | | | | | |
| | AZ | | | | | |

☐ Additional References sheet(s) attached

Examiner /Brian McDowell/

Date Considered 05/14/2008

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. PCT Application Serial No: 10/584,631

Filed: June 26, 2006

Thomas GESSNER, et al.

Docket No. 292331US0PCT

STATEMENT OF RELEVANCY

- 1) References AA - AB , AO and AW have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References are discussed in the specification. A copy of this references are being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.